UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: HAEMATSU, Hitoshi

Group Art Unit: 2822

Serial No.: 10/659,337

Examiner: Pamela E. PERKINS

Filed: September 11, 2003

P.T.O. Confirmation No.: 7094

For.

SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THEREOF

## INFORMATION DISCLOSURE STATEMENT AND STATEMENT PURSUANT TO 37 CFR 1.97(c)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

December 28, 2004

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to issuance of either a final official action, a Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies

XX that each item of information contained in this statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

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PATENT TRADEMARK OFFICE

Enclosures:

PTO 1449

5 References

Copy of Japanese Patent Office Action for corresponding Japanese Patent Application

No. 2001-165701 dated September 28, 2004

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INFORMATION	Atty. Docket No. 020721A	Serial No. 10/659,337	
DISCLOSURE CITATION	Applicant(s): HAEMATSU, Hitoshi		
PTO-1449	Filing Date: September 11, 2003	Group Art Unit: 2822	

## **U.S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
	AA						
	AB						
	AC						
	AD						
	AE						

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation (Yes or No)
AF	4-139729	05/13/1992	Japan	Abstract
 AG	61-19154	01/28/1986	Japan	Abstract
 AH	8-107120	04/23/1996	Japan	Abstract
 AI	11-26633	01/29/1999	Japan	Abstract
AJ	8-97214	04/12/1996	Japan	Abstract

## **OTHER DOCUMENTS**

	AK		
	AL		
Examiner		Date Considered	